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John S. Nagy, Reg. No. 30,6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

: 10/656,722

Applicants

: Lilip Lau, Steven Meyer, Matthew G. Fishler, Craig Mar, Anuja J.

Patel, Sieu Duong

Filed

: September 5, 2003

Art Unit

: 3736

Examiner

: Unassigned

Title

CARDIAC HARNESS

Docket No.:

PARCR 66117

Customer No.

: 24201

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

The documents listed on the enclosed Form PTO/SB/08A have come to the attention of Applicants and are submitted to the Office under 37 C.F.R. § 1.97. A copy of each of the listed foreign documents and articles is enclosed.

Applicants believe that this Information Disclosure Statement has been filed before the mailing date of a first Office action on the merits in accordance with 37 C.F.R. § 1.97(b)(3) and therefore, no fee is due. However, if a fee is in fact due the

Commissioner is authorized to charge our Deposit Account No. 06-2425. A duplicate of this paper is enclosed.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

Bv:

John S. Nagy

Registration No. 30,664

JSN:jeb

Howard Hughes Center 6060 Center Drive, Tenth Floor Los Angeles, CA 90045 Telephone: (310) 824-5555

Facsimile: (310) 824-9696

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PTO/SB/21 (08-03)
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TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number	10/656,722
Filing Date	September 5, 2003
First Named Inventor	Lilip Lau
Art Unit	3736
Examiner Name	Unassigned
Attorney Docket Number	PARCR 66117

		ENCLOSURES (check all that app	ly)					
Amendment Afte Aftid Extension of Express Aba Information D Certified Cop Document(s Response to Incomplete of	Attached / Reply r Final lavits/declaration(s) Time Request Indonment Request Disclosure Statement py of Priority Missing Parts/	Drawing(s) Licensing-related Papers Petition Petition to Convert a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Remarks 24201	After Allowance communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Proprietary Information Status Letter Other Enclosure(s) (please identify below): Postcard 119 References					
	SIGNATUR	RE OF APPLICANT, ATTORNEY, OR AGE	NT					
Firm <i>or</i> Individual name	FULWIDER PATTO	N LEE & UTECHT, LLP						
Signature	Signature The Va							
Date								
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Complete if Known Substitute for form 1449A/PTO Application Number 10/656,722 Filing Date September 5, 2003 INFORMATION DISCLOSURE Lilip Lau First Named Inventor STATEMENT BY APPLICANT Unassigned Art Unit Unassigned **Examiner Name** (use as many sheets as necessary) PARCR 66117 of | 14 Attorney Docket Number

			U.S	. PATENT DOCUMEN	TS	·
Examiner Initials*	Cite No ¹	Number Docume	ent Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Substitute for form 1449A/PTO					Complete if Known						
Substitut	e for form	1449A/PTC)			Application Nu	mber		10/656,722		
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INFORMATION DISCLOSURE						First Named In	ventor	•	Lilip Lau		
STATEMENT BY APPLICANT					Art Unit			Unassigned			
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Examiner Initials*	Cite No ¹		Foreign Patent Docum	ent Kind ⁵		Publication Date MM-DD-YYYY	Nam		ntee or Applicant I Document	Where Relevant Passages or Relevant	T ⁶
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		PCT	WO 95/06447		_	3/09/95			& Associates		
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	Complete if Known		
Substitute for form 1449A/PTO	Application Number	10/656,722	
INFORMATION DISCLOSURE	Filing Date	September 5, 2003	
	First Named Inventor	Lilip Lau	
STATEMENT BY APPLICANT	Art Unit	Unassigned	
(use as many sheets as necessary)	Examiner Name	Unassigned	
Sheet 3 of 14	Attorney Docket Number	PARCR 66117	

			U.S	. PATENT DOCUMEN	NTS	
Examiner Initials*	Cite No ¹	Number Docume	Kind Code ² (if known)	- Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		PCT	WO 96/40356 ✔		12/19/96	EP Technologies, Inc.		Ī		
		PCT	WO 97/20505 🖊		06/12/97	Loma Linda University				
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		PCT	WO 99/11201 🗸		03/11/99	Endocore, Inc.				

Examiner	Date	
Signature	Considered	

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INFORMATION DISCLOSURE	Filing Date	September 5, 2003		
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(use as many sheets as necessary)	Examiner Name	Unassigned		
Sheet 4 of 14	Attorney Docket Number	PARCR 66117		

			U.S	. PATENT DOCUMEN	NTS	
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Initials* No	No¹	Office ³	Number⁴	Kind ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	1"	
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		PCT	WO 99/30647		06/24/99	Myoc	or, Inc.		
		PCT	WO 99/44534 🗸		09/10/99	Melvi	n		
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Substitu	ute for form 1449A/PTO	Application Number	10/656,722		
I T	NFORMATION DISCLOSURE	Filing Date	September 5, 2003		
1		First Named Inventor	Lilip Lau		
	STATEMENT BY APPLICANT	Art Unit	Unassigned		
	(use as many sheets as necessary)	Examiner Name	Unassigned		
Sheet	5 of 14	Attorney Docket Number	PARCR 66117		

	,		U.S	. PATENT DOCUMEN	NTS	
Examiner Initials*	Cite No ¹	Number Docume	ent Number Kind Code ² (if known)	- Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Examiner	Date	
Signature	Considered	

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Complete if Known Substitute for form 1449A/PTO 10/656,722 Application Number September 5, 2003 Filing Date INFORMATION DISCLOSURE Lilip Lau First Named Inventor STATEMENT BY APPLICANT Art Unit Unassigned Unassigned Examiner Name (use as many sheets as necessary) PARCR 66117 of 14 Sheet 6 Attorney Docket Number

			U.S.	. PATENT DOCUMEN	NTS	
Examiner Initials*	Cite No ¹	Number Docum	ent Number Kind Code ² (if known)	- Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		PCT	WO 01/91667 🗸		12/06/01	Cardioclasp, Inc.		
		PCT	WO 01/95830 🖊	,	12/20/01	Acorn Cardiovascular		

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Complete if Known Substitute for form 1449A/PTO 10/656,722 Application Number September 5, 2003 Filing Date INFORMATION DISCLOSURE Lilip Lau First Named Inventor STATEMENT BY APPLICANT Art Unit Unassigned Unassigned Examiner Name (use as many sheets as necessary) PARCR 66117 Sheet of 14 Attorney Docket Number

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		PCT	WO 01/95831-/		12/20/01	Acorn Cardiovascular		
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	_		U.S	. PATENT DOCUMEN	NTS	
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Substitute for form 1449B/PTO Complete if Known 10/656,722 Application Number INFORMATION DISCLOSURE September 5, 2003 Filing Date STATEMENT BY APPLICANT Lilip Lau First Named Inventor Unassigned Group Art Unit (use as many sheets as necessary) Unassigned Examiner Name PARCR 66117 Sheet 10 of | 14 Attorney Docket Number

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, '	T				
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Substitu	te for form 1449B/PTO		Application Number	10/656,722	
l m	NFORMATION	DISCI OSLIDE	Filing Date	September 5, 2003	
	TATEMENT BY		First Named Inventor	Lilip Lau	
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Substitute for form 1449B/PTO		C	omplete if Known			
Substitute	for form	1449B/PTO		Application Number	10/656,722	
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Т т	NFORMATION	DISCI OSUDE	Filing Date	September 5, 2002	
			First Named Inventor	Lilip Lau	
2	STATEMENT B	Y APPLICANT	Group Art Unit	Unassigned	
	(use as many shee	ets as necessary)	Examiner Name	Unassigned	
Sheet	13	of 14	Attorney Docket Number	PARCR 66117	

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	_
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		Application for U.S. Letters Patent Serial No. 09/634,043 filed August 8, 2000	
		Application for U.S. Letters Patent Serial No. 09/952,145 filed September 10, 2001	
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		Application for U.S. Letters Patent Serial No. 10/715,150 filed November 17, 2003	T .
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		Application for U.S. Letters Patent Serial No. 10/754,264 filed January 9, 2004	
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m	NFORMATION I	DISCI OSUDE	Filing Date	Sepetmeber 5, 2003	
	TATEMENT BY		First Named Inventor	Lilip Lau	
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	(use as many sheets	as necessary)	Examiner Name	Unassigned	
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		Application for U.S. Letters Patent Serial No. 10/705,574 filed March 5, 2004	+-

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